SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Lawson Douglas A.			2. Date of Event Requiring Statement (Month/Day/Year) 11/13/2013 3. Issuer Name and Ticker or Trading Symbol <u>AXCELIS TECHNOLOGIES INC</u> [ACLS]							
(Last) (First) (Middle) C/O AXCELIS TECHNOLOGIES, INC.					4. Relationship of Reporting Perso (Check all applicable) Director	10% Owner		5. If Amendment, Date of Original Filed (Month/Day/Year)		
108 CHERRY HILL DRIVE					X Officer (give title below)	Other (specify below) g & Strategy		6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street) BEVERLY MA 01915					EVP, Corporate Mktg			X Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock					10,098	D				
Common Stock					7,500	I By		spouse		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)		str. 4)	2. Date Exercisable an Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion Exerc	ise Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiratior Date	n Title	Amount or Number of Shares	Price of Derivativ Security	ve Direct (D) or Indirect (I) (Instr. 5)		
Stock option (right to buy) ⁽¹⁾		01/15/2014 ⁽²⁾	01/15/2020	Common Stock	12,500	1.59	D			
Stock option (right to buy) ⁽¹⁾		07/15/2014 ⁽³⁾	07/15/2020	Common Stock	65,000	1.6	D			
Stock option (right to buy) ⁽¹⁾		07/15/2015 ⁽⁴⁾	07/15/2021	Common Stock	40,000	1.6	D			
Stock option (right to buy) ⁽⁵⁾			07/16/2016 ⁽⁶⁾	07/16/2019	Common Stock	37,500	0.93	D		
Stock option (right to buy) ⁽⁵⁾			07/15/2017 ⁽⁷⁾	07/15/2020	Common Stock	75,000	1.99	D		

Explanation of Responses:

1. Granted pursuant to the Axcelis Technologies, Inc. 2000 Stock Plan.

2. To become exercisable on 1/15/2014.

3. Exercisable as to 46,250 shares and to become exercisable as to an additional 18,750 on 7/15/2014.

4. Excercisable as to 20,000 shares and to become exercisable as to an additional 10,000 shares on each of 7/15/2014 and 7/15/2015.

5. Granted pursuant to the Axcelis Technologies, Inc. 2012 Equity Incentive Plan.

6. To become exercisable as to 12,500 shares on each of 7/16/2014, 7/16/2015 and 7/16/2016.

7. To become exercisable as to 18,750 shares on each of 7/15/2014, 7/15/2015, 7/15/2016 and 7/15/2017.

Lynnette C. Fallon, as attorney in fact for Douglas A. Lawson 11/14/2013

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY For Executing Reports under Section 16(a) with respect to securities of AXCELIS TECHNOLOGIES INC.

The undersigned hereby constitutes and appoints each of Mary G. Puma, Lynnette C. Fallon, Matthew C. Dallett and Stanley Keller, each acting singly, the undersigned's true and lawful attorney-in-fact, from the date hereof until this Power of Attorney is revoked, to: (1) execute, for and on behalf of the undersigned, Forms 3, 4 and 5 with respect to securities of Axcelis Technologies, Inc. in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in his or her discretion; and (2) do and perform any and all acts, for and on behalf of the undersigned, that may be necessary or desirable to complete the execution of any such Form 3, 4 or 5 and the timely filing of such form with the United States Securities and Exchange Commission and any other authority.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform all and every act and thing whatsoever requisite, necessary and proper to be done in the exercise of any of the rights and powers herein granted, as fully for all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or his or her substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed this 4th day of October, 2013.

_/s/ Douglas A. Lawson_____ Douglas A. Lawson