SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

1. Name and Address of Reporting Person* Bintz William J			2. Issuer Name and Ticker or Trading Symbol <u>AXCELIS TECHNOLOGIES INC</u> [ACLS]	(Check	ationship of Reporting Per (all applicable) Director Officer (give title	rson(s) to Issuer 10% Owner Other (specify
(Last) 108 CHERRY H	.ast) (First) (Middle) 08 CHERRY HILL DRIVE		3. Date of Earliest Transaction (Month/Day/Year) 08/08/2016	X	below) EVP, Engineering a	below)
(Street) BEVERLY MA 01915		01915	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Filin Form filed by One Rep Form filed by More tha	orting Person
(City)	(State)	(Zip)			Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)	
Common Stock	08/08/2016		М		1,059	A	\$4.64	48,262(1)	D		
Common Stock	08/08/2016		М		4,692	A	\$3.72	52,954	D		
Common Stock	08/08/2016		S		5,751	D	\$11.98	47,203	D		
Common Stock	08/09/2016		М		5,941	A	\$4.64	53,144	D		
Common Stock	08/09/2016		М		14,058	A	\$3.72	67,202	D		
Common Stock	08/09/2016		S		19,999	D	\$11.98	47,203(1)	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

	(e.g.,	puts, cans	s, warrants	s, options,	, convertii	Die sec	urities)	
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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Numb of Derivati Securiti Acquire (A) or Dispose of (D) (II 3, 4 and	ve es d ed nstr.	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock option (right to buy)	\$4.64	08/08/2016		М		1,059		11/16/2013	11/16/2019	Common Stock	25,000	\$0.00	23,941	D	
Stock option (right to buy)	\$3.72	08/08/2016		М		4,692		07/16/2016	07/16/2019	Common Stock	18,750	\$0.00	14,058	D	
Stock option (right to buy)	\$4.64	08/09/2016		М		5,941		11/16/2013	11/16/2019	Common Stock	23,941	\$0.00	18,000	D	
Stock option (right to buy)	\$3.72	08/09/2016		М		14,058		07/16/2016	07/16/2019	Common Stock	14,058	\$0.00	0	D	

Explanation of Responses:

1. Of the shares held as of August 9,2016, 27,274 were issuable on vesting of restricted stock units granted under the 2012 Equity Incentive Plan and are subject to forefeiture.

Remarks:

Lynnette C. Fallon, as attorney in fact for William Bintz

08/10/2016

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.